



Confirmation Number 8138
Serial Number 10/044,114
Attorney's Docket Number 01545.018a

4-29-02

PATENT

RECEIVED

APR 17 2002

Technology Center 2100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jeff B. Anderson, et al.

Examiner:

Serial Number: 10/044,114

Art Unit: 2185

Filed: January 10, 2002

Assignee: Center 7, Inc.

For: "Intermediate Systems for Enterprises Management from a Central Location"

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(b)

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached Form PTO/SB/08A. Copies of the listed documents are attached. This submission is intended to comply with the requirements of 37 C.F.R. §§ 1.56 and 1.97. Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

DISK TO STICK

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D. C. 20231, on this 9th day of April, 2002.

Signed: _____

Dated: _____
461439

4/9/02

DATE: _____



This submission does not constitute an admission that each or all of the listed documents are material or constitute prior art under 35 U.S.C. § 102.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 50-0581.

Respectfully,

PARSONS BEHLE & LATIMER

A handwritten signature in black ink, appearing to read 'Daniel P. McCarthy', with a long horizontal stroke extending to the right.

Daniel P. McCarthy
Registration Number 36,600
Attorney for Applicants
201 South Main Street, Suite 1800
Salt Lake City, Utah 84111
Telephone: (801) 532-1234
Facsimile: (801) 536-6111

April 9, 2002
Salt Lake City, Utah



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO				Complete if Known	
				Application Number	10/044,114
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Filing Date	January 10, 2002
				First Named Inventor	Jeff B. Anderson
				Art Unit	
				Examiner Name	
				Attorney Docket Number	01545.018a
Sheet	1	of	4		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US- 6,233,612	05-15-2001	Fruchtman	
		US- 6,219,708	04-17-2001	Martenson	
		US- 6,192,034	02-20-2001	Hsieh	
		US- 6,189,038	02-13-2001	Thompson	
		US- 6,185,600	02-06-2001	Spence	
		US- 6,176,883	01-23-2001	Holloway	
		US- 6,138,121	10-24-2000	Costa	
		US- 6,112,235	08-29-2000	Spofford	
		US- 6,104,868	08-15-2000	Peters	
		US- 6,101,498	08-08-2000	Scaer	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

RECEIVED

APR 17 2002

Technology Center 2100

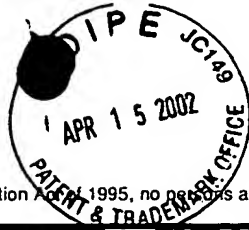
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

Examiner Signature	Date Considered
-----------------------	--------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/08B (10-01)
Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/044,114
Sheet	2	Filing Date	January 10, 2002
of	4	First Named Inventor	Jeff B. Anderson
		Group Art Unit	
		Examiner Name	
		Attorney Docket Number	01545.018a

RECEIVED
APR 17 2002
Technology Center 21.

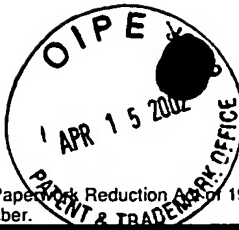
OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		CENTER 7 INC., "Center 7 Announces ProfitCenter Managed E-Commerce Hosting Services for Oracle iStore", Aug. 29, 2001, Salt Lake City, UT	
		CENTER 7 INC., "ProfitCenter E-Commerce Hosting Service from Center 7 Receives Sun's Coveted SunTone Certification", Apr. 17, 2001, Salt Lake City, U	
		CENTER 7 INC., "The Canopy Group Invests an additional \$5 million in Center 7" Feb. 15, 2001, Lindon, UT	
		CENTER 7 INC., "BuyersOnline Taps ProfitCenter from Center 7 to Host and Manage Web Site", Apr. 4, 2001, Lindon, UT	
		CENTER 7 INC., "Center 7 and Opto 22 Team Up to Expand Enterprise Management Beyond the IT World", Mar. 13, 2001, Salt Lake City, UT	
		CENTER 7 INC., "Center 7 delivers market leading Unicenter TNG Enterprise Management solution in a fraction of the time and cost ...", Feb. 9, 2001, Lindon, UT	
		RACHEL KONRAD, "GM's new vehicle for the future", Jan. 9, 2001, Detroit, MI	
		CENTER 7 INC., "Start-up Showcase", Jan. 8, 2001, Lindon, UT	
		CENTER 7 INC., "Center 7 Teams with Vignette to deliver leading e-Business Solutions", Aug. 21, 2000, Lindon, UT	
		CENTER 7 INC., "Center 7 Teams with Top Technology Players", Aug. 7, 2000, Lindon, UT	
		CENTER 7 INC., "Broadwing Provides \$8.7 Million IP VPN Solution for Center 7", Aug. 1, 2000, Austin, TX	

Examiner Signature	Date Considered
--------------------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO		Complete if Known	
		Application Number	10/044,114
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	January 10, 2002
		First Named Inventor	Jeff B. Anderson
		Group Art Unit	
		Examiner Name	
		Attorney Docket Number	01545.018a
Sheet	3	of	4

RECEIVED
APR 17 2002
Technology Center 2

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		CENTER 7 INC., "Center 7 Employs CA's UniCenter TNG and Neugents to Deliver End-to-End Enterprise Management Solutions ...", Apr. 10, 2000, New Orleans, LA	
		CENTER 7 INC., "Center 7 Selected by Oracle Corporation to Host, Implement and Manage Oracle Applications", July 24, 2000, Lindon, UT	
		CENTER 7 INC., "Center 7 Teams with Top Technology Players", Aug. 7, 2000, Lindon, UT	
		CENTER 7 INC., "Center 7/INC. White Paper", Oct. 23, 2000, Lindon, UT	
		COMPUTER ASSOCIATES INTERNATIONAL, INC., "Overview Unicenter TNG", 1999, Islandia, NY	
		COMPUTER ASSOCIATES INTERNATIONAL, INC., "Proving the case: The Business Value of Network Management", 1999, Islandia, NY	
		COMPUTER ASSOCIATES INTERNATIONAL, INC., "Comprehensive eBusiness Management With Unicenter TNG", 2000, Islandia, NY	
		COMPUTER ASSOCIATES INTERNATIONAL, INC., "Unicenter TND Ultimate eBusiness Management", 2000, Islandia, NY	
		CENTER 7 INC., "PILOTCenter The New Approach to Enterprise Management", Feb. 2001, Lindon, UT	
		CENTER 7 INC., "PILOTCenter: We don't make the best enterprise management software, we just make it affordable and manageable", Aug. 2000, Lindon, UT	
		INTERNATIONAL BUSINESS MACHINES CORP., "Tivoli Redbooks Collection" CD-ROM, June 2001	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Project 0651-0031, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/044,114
				Filing Date	January 10, 2002
				First Named Inventor	Jeff B. Anderson
				Group Art Unit	
				Examiner Name	
Sheet	4	of	4	Attorney Docket Number	01545.018a

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		SANDY SAMPSON, "Unicenter TNG for Dummies", 2000, IDG Books Worldwide, Inc., Foster City, CA	
		JOHN BLOMMERS, "OpenView Network Node Manager", 2001, Prentice Hall PTR, Upper Saddle River, NJ	

Examiner Signature		Date Considered	
---------------------------	--	------------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.